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February 4, 2003

**WRITER'S DIRECT NUMBER:**  
(202) 772-8525  
**INTERNET ADDRESS:**  
BRIAND@SKGF.COM

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Commissioner for Patents  
Washington, D.C. 20231

FEB 06 2003

Art Unit 1644

TECH CENTER 1600/2900

Re: U.S. Utility Patent Application  
Appl. No. 09/832,922; Filed: April 12, 2001  
For: **Compositions and Methods for Use in Modulating Immune  
System Function**  
Inventors: Geissmann *et al.*  
Our Ref: 1383.0260001/EKS/BJD

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Executed Certificate Under 37 C.F.R. § 3.73(b) from Institut National De La Santé Et De La Recherche Médicale;
2. Executed Power of Attorney from Assignee from Institut National De La Santé Et De La Recherche Médicale;
3. Executed Certificate Under 37 C.F.R. § 3.73(b) from Centre National De La Recherche Scientifique;
4. Executed Power of Attorney from Assignee from Centre National De La Recherche Scientifique;
5. Executed Certificate Under 37 C.F.R. § 3.73(b) from Université Louis Pasteur;
6. Executed Power of Attorney from Assignee from Université Louis Pasteur; and
7. One (1) return postcard.

Commissioner for Patents  
February 4, 2003  
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Brian J. Del Buono  
Attorney for Applicants  
Registration No. 42,473

BJD/nef  
Enclosures

ODMA MHODMA SKGF\_DC1:99136:1  
SKGF Rev. 2/15/02 dew



BMS Ref: XN29

## POWER OF ATTORNEY FROM ASSIGNEE

INSTITUT NATIONAL DE LA SANTÉ ET DE LA RECHERCHE MÉDICALE, having a principal place of business at 101 rue de Tolbiac, 75654 Paris Cedex 13, France, is co-assignee of an undivided right, title, and interest of Frederic GEISSMANN, Yves LEPELLETIER, Michel DY, Anne DURANDY, Patrick REVY and Pierre CHAMBON, for the United States of America (as defined in 35 U.S.C. §100), by reason of an Assignment to the Assignee executed on October 8, 2002, September 6, 2002, September 3, 2002, September 12, 2002, September 12, 2002, and August 30, 2002, respectively, of an invention known as **Compositions and Methods for Use in Modulating Immune System Function** (Attorney Docket No. 1383.0260001/EKS/BJD), which is disclosed and claimed in a patent application of the same title by the inventor(s) Frederic GEISSMANN, Yves LEPELLETIER, Michel DY, Anne DURANDY, Patrick REVY and Pierre CHAMBON (said application filed on April 12, 2001 at the U.S. Patent and Trademark Office, having Application Number 09/983,922).

The Assignee hereby appoint the U.S. attorneys associated with **CUSTOMER NUMBER 28393** to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith, such attorneys currently being: Robert Greene Sterne, Esq., Registration No. 28,912; Edward J. Kessler, Esq., Registration No. 25,688; Jorge A. Goldstein, Esq., Registration No. 29,021; David K.S. Cornwell, Esq., Registration No. 31,944; Robert W. Esmond, Esq., Registration No. 32,893; Tracy-Gene G. Durkin, Esq., Registration No. 32,831; Michele A. Cimbala, Esq., Registration No. 33,851; Michael B. Ray, Esq., Registration No. 33,997; Robert E. Sokohl, Esq., Registration No. 36,013; Eric K. Steffe, Esq., Registration No. 36,688; Michael Q. Lee, Esq., Registration No. 35,239; Steven R. Ludwig, Esq., Registration No. 36,203; John M. Covert, Esq., Registration No. 38,759; Linda E. Alcorn, Esq., Registration No. 39,588; Lawrence B. Bugaisky, Esq., Registration No. 35,086; Donald J. Featherstone, Esq., Registration No. 33,876; and Robert C. Millonig, Esq., Registration No. 34,395. The Assignee hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

Send correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
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Suite 600  
Washington, D.C. 20005-3934  
U.S.A.

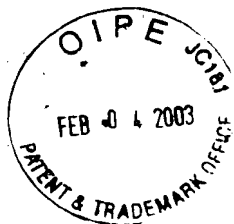
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FOR: Institut National de la Santé et de la Recherche Médicale  
SIGNATURE: Franchine MOISAND  
BY: Département Valorisation et Transfert de Technologies  
TITLE: Pour le Directeur Général et par délégation  
DATE: 3 DEC 2002  
INSTITUT NATIONAL DE LA SANTÉ ET DE LA RECHERCHE MÉDICALE  
101 rue de Tolbiac  
75005 PARIS CEDEX 13



Our Ref. 1383.0260001/EKS/BJD  
BMS Ref: XN29

### Certificate Under 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: Geissmann et al.

Application No./Patent No.: 09/832,922 Filed/Issue Date: April 12, 2001

Entitled: Compositions and Methods for Use in Modulating Immune System Function

Centre National De La Recherche Scientifique, a Government Agency  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is a co-assignee of the entire right, title and interest in the patent application/patent identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 013210, Frame 0587, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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**[NOTE:** A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Signature: Le Responsable de la Politique

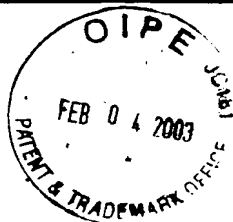
Name: de Valorisation

Title: Michel ROUX

Date: April 12 2001



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## POWER OF ATTORNEY FROM ASSIGNEE

CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE, having a principal place of business at 3 rue Michel Ange, 75794 Paris Cedex 16, France, is co-assignee of an undivided right, title, and interest of Frederic GEISSMANN, Yves LEPELLETIER, Michel DY, Anne DURANDY, Patrick REVY and Pierre CHAMBON, for the United States of America (as defined in 35 U.S.C. §100), by reason of an Assignment to the Assignee executed on October 8, 2002, September 6, 2002, September 3, 2002, September 12, 2002, September 12, 2002, and August 30, 2002, respectively, of an invention known as **Compositions and Methods for Use in Modulating Immune System Function** (Attorney Docket No. 1383.0260001/EKS/BJD), which is disclosed and claimed in a patent application of the same title by the inventor(s) Frederic GEISSMANN, Yves LEPELLETIER, Michel DY, Anne DURANDY, Patrick REVY and Pierre CHAMBON (said application filed on April 12, 2001 at the U.S. Patent and Trademark Office, having Application Number 09/983,922).

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Send correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
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Washington, D.C. 20005-3934  
U.S.A.

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Direct phone calls to 202-371-2600.

FOR: CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE

SIGNATURE: Le Responsable de la Politique de Valorisation

BY: [Signature]

TITLE: Michel ROUX

DATE: January 6 2003





Our Ref. 1383.0260001/EKS/BJD  
BMS Ref: XN29

### Certificate Under 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: Geissmann et al.

Application No./Patent No.: 09/832,922 Filed/Issue Date: April 12, 2001

Entitled: Compositions and Methods for Use in Modulating Immune System Function

Université Louis Pasteur, a University  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is a co-assignee of the entire right, title and interest in the patent application/patent identified above by virtue of either:

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The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Signature: [Signature]

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: Nov 26, 2002

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Our Ref. 1383.0260001/EKS/BJD  
BMS Ref: XN29

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Applicant/Patent Owner: Geissmann et al.

Application No./Patent No.: 09/832,922 Filed/Issue Date: April 12, 2001

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Institut National De La Santé Et De La Recherche Médicale, a Government Agency  
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Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: DEC. 2002

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